FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.
10001559-1	09/483,186

APPLICANT	
Francisco Corella	

FILING DATE	GROUP
Jan. 14, 2000	Unk.

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1A					
	1B					
	1C					
	1D					
	1E					
	1F					
	1G					
	1H					
	1I					
	1J					
	1K					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	1L							
	1M							
	1N							
	1O							
	1P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	M. Boe et al., "TLS-Based Telnet Security," Internet Engineering Task Force, Internet Draft, pp. 1-20, (Updated Version October 24, 2000, Previous Version September 1998). < http://www.normos.org/ietf/draft/draft-ietf-tn3270e-telnet-tls-05.txt>
	1R	D. Borman, "Telnet Authentication Option," Network Working Group, Request for Comments: 1416, pp. 1-7, (February 1993). < http://www.csl.sony.co.jp/rfc/>
	1S	M. Carpenter et al., "Securing FTP with TLS," Internet Engineering Task Force, Internet Draft, pp. 1-23, (January 28, 1998). < http://war.jgaa.com/ftp/draft/draft-murray-auth-ftp-ssl-03.txt>

EXAMINER	DATE CONSIDERED
	8/02/03

FORM PTO-1449	ATTY. DOCKET NO.	SERIAL NO.
	10001559-1	09/483,186
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	APPLICANT	
	Francisco Corella	
(Use several sheets if necessary)	FILING DATE	GROUP
	Jan. 14, 2000	Unk.

OIPE MAY 15 2001

REFERENCE DESIGNATION   U.S. PATENT DOCUMENTS

RECEIVED MAY 17 2001 Technology Center 2100

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	2A					
	2B					
	2C					
	2D					
	2E					
	2F					
	2G					
	2H					
	2I					
	2J					
	2K					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	2L							
	2M							
	2N							
	2O							
	2P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	2Q	C. Ellison et al., "SPKI Certificate Theory," Network Working Group, Request for Comments: 2693, pp. 1-43, (Updated Version September 1999, Previous Version November 17, 1998). < http://www.csl.sony.co.jp/rfc/>
	2R	S. Farrell, "TLS Extensions for AttributeCertificate Based Authorization," Transport Layer Security Working Group, Internet Engineering Task Force, Internet Draft, pp. 1-11, (August 20, 1998). < http://www.alternic.org/draft/draft-ietf-tls-attr-cert-01.txt>
	2S	M. Horowitz et al., "FTP Security Extensions," Networking Working Group, Request for Comments: 2228, pp. 1-27, (October 1997). < http://www.csl.sony.co.jp/rfc/>

EXAMINER		DATE CONSIDERED
		9/02/03

Rev 10/00 (PTO1449)

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.
10001559-1	09/483,186
APPLICANT	
Francisco Corella	
FILING DATE	GROUP
Jan. 14, 2000	Unk.

REFERENCE DESIGNATION — **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	3A					
	3B					
	3C					
	3D					
	3E					
	3F					
	3G					
	3H					
	3I					
	3J					
	3K					

RECEIVED MAY 17 2001 Technology Center 2100

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION YES	NO
	3L							
	3M							
	3N							
	3O							
	3P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

CJB	3Q	R. Housley et al., "FTP Authentication Using DSA," CAT Working Group, Internet Engineering Task Force, Internet Draft, pp. 1-8, (February 1998). < http://www.alternic.org/draft/draft/ietf-cat-ftpdsaauth-02.txt>
	3R	R. Housley et al., "Telnet Authentication Using DSA," Secure Telnet Working Group, Internet Engineering Task Force, Internet Draft, 7 pages, (July 1998). < http://www.alternic.org/draft/draft/housley-telnet-auth-dsa-02.txt.
	3S	J. Kohl et al., "The Kerberos Network Authentication Service (V5)," Network Working Group, Request for Comments: 1510, pp. 1-112, (September 1993). < http://www.csl.sony.co.jp/rfc/>

EXAMINER	Chris Brown	DATE CONSIDERED 9/02/03

Rev 10/00 (PTO1449)

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

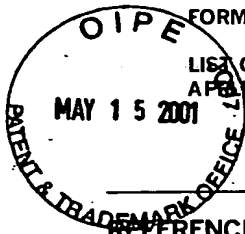| ATTY. DOCKET NO.	SERIAL NO.
10001559-1	09/483,186

APPLICANT	
Francisco Corella	

FILING DATE	GROUP
Jan. 14, 2000	Unk.

REFERENCE DESIGNATION   U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	4A					
	4B					
	4C					
	4D					
	4E					
	4F					
	4G					
	4H					
	4I					
	4J					
	4K					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	4L							
	4M							
	4N							
	4O							
	4P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

C JB	4Q	S. Micali, "Efficient Certificate Revocation," Massachusetts Institute of Technology, Laboratory for Computer Science, pp. 1-10 (March 22, 1996).
	4R	M. Myers et al., "X.509 Internet Public Key Infrastructure Online Certificate Status Protocol-OCSP," Network Working Group, Request for Comments: 2560, pp. 1-22, (June 1999). < http://www.csl.sony.co.jp/rfc/>
	4S	M. Naor et al., "Certificate Revocation and Certificate Update," USENIX Association, Seventh USENIX Security Symposium, pp. 217-228, (January 26-29, 1998).

EXAMINER	DATE CONSIDERED
Chris Brown	9/02/03

Rev 10/00 (PTO1449)

FORM PTO-1449

OIPE
MAY 1 5 2001

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

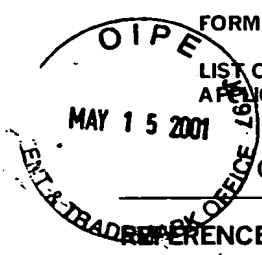| ATTY. DOCKET NO.	SERIAL NO.
10001559-1	09/483,186

APPLICANT
Francisco Corella

FILING DATE	GROUP
Jan. 14, 2000	Unk.

REFERENCE DESIGNATION — U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	5A					
	5B					
	5C					
	5D					
	5E					
	5F					
	5G					
	5H					
	5I					
	5J					
	5K					

RECEIVED
MAY 1 7 2001
Technology Center 2100

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION YES	TRANSLATION NO
	5L							
	5M							
	5N							
	5O							
	5P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

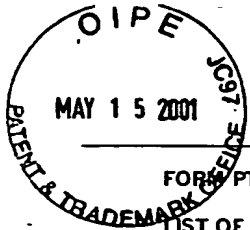|		
CJB	5Q	C. Newman, "Telnet SASL Option," Network Working Group, Internet Engineering Task Force, Internet Draft, 6 pages, (November 1998). < http://globecom.net/ietf/draft/draft-newman-telnet-sasl-01.html>
	5R	E. Rescorla, "HTTP Over TLS," Internet Engineering Task Force, Internet Draft, pp. 1-6, (September 1998). < http://www.alternic.org/draft/draft-ietf-tls-https-02.txt>
	5S	RSA Security Inc., "RSA Keon Advanced PKI: A Security Architecture for Enabling E-Business," Solution White Paper, pp. 1-14, (1999). < http://www.rsasecurity.com/products/keon/whitepapers/advpkiwp/rsa_keon_advanced_pki_wp.pdf >

EXAMINER	DATE CONSIDERED
Chris Brown	9/02/03

Rev 10/00 (PTO1449)

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.
10001559-1	09/483,186

APPLICANT	
Francisco Corella	

FILING DATE	GROUP
Jan. 14, 2000	Unk.

REFERENCE DESIGNATION   U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	6A					
	6B					
	6C					
	6D					
	6E					
	6F					
	6G					
	6H					
	6I					
	6J					
	6K					

RECEIVED MAY 17 2001 Technology Center 2100

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	6L							
	6M							
	6N							
	6O							
	6P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

CJB	6Q	RSA Security Inc., "Security Services Provided by the RSA Keon Desktop v5.1," White Paper, pp. 1-8, (1999). < http://www.rsasecurity.com/products/keon/whitepapers/desktop/keon_desktop_wp.pdf>
	6R	B. Tung et al., "Public Key Cryptography for Initial Authentication in Kerberos," Internet Engineering Task Force, Internet Draft, 21 pages (Expires May 15, 1999) < http://www.alternic.org/draft/draft-ietf-cat-kerberos-pk-init-07.txt>
	6S	Web Page of the "Simple Public Key Infrastructure (spki)," Working Group, 2 pages, (Last Modified January 16, 2001), < http://www.ietf.org/html.charters/spki-charter.html>

EXAMINER Chris Brown	DATE CONSIDERED 9/02/03
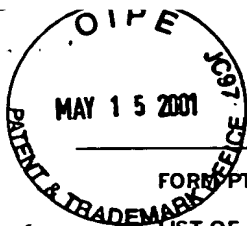
Rev 10/00 (PTO1449)

FORM PTO-1449	ATTY. DOCKET NO. **10001559-1** — SERIAL NO. **09/483,186**

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.
10001559-1	09/483,186

APPLICANT	
Francisco Corella	

FILING DATE	GROUP
Jan. 14, 2000	Unk.

REFERENCE DESIGNATION — U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	7A					
	7B					
	7C					
	7D					
	7E					
	7F					
	7G					
	7H					
	7I					
	7J					
	7K					

RECEIVED MAY 17 2001 Technology Center 2100

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION YES	NO
	7L							
	7M							
	7N							
	7O							
	7P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

CB	7Q	Web Page of the "Transport Layer Security (tls)," Working Group, 2 pages, (Last Modified March 30, 2001), < http://www.ietf.org/html.charters/tls-charters.html>
	7R	
	7S	

EXAMINER	DATE CONSIDERED
Chris Brown	9/02/03

Rev 10/00 (PTO1449)

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.
10001559-1	09/483,186
APPLICANT	
Francisco Corella	
FILING DATE	GROUP
Jan. 14, 2000	Unk.

REFERENCE DESIGNATION   U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1A					
	1B					
	1C					
	1D					
	1E					
	1F					
	1G					
	1H					
	1I					
	1J					
	1K					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	1L							
	1M							
	1N							
	1O							
	1P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)
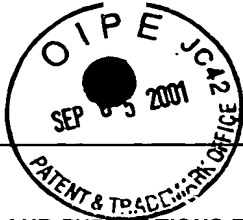
	1Q	International Telecommunication Union (ITU-T) Recommendation X.509, "Information Technology Open Systems Interconnection, The Directory: Authentication Framework," pp. i-81 (June 1997).
	1R	
	1S	

EXAMINER	DATE CONSIDERED
Chris Brown	9/2/03

Rev 10/00 (PTO1449)

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
10001559-1	09/483,186	

APPLICANT

Francisco Corella

FILING DATE	GROUP
Jan. 14, 2000	

REFERENCE DESIGNATION   U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1A					
	1B					
	1C					
	1D					
	1E					
	1F					
	1G					
	1H					
	1I					
	1J					
	1K					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
CJB	1L	WO 99/35783	15 July 1999	Matthew Hur et al.				
	1M							
	1N							
	1O							
	1P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

CJB	1Q	R. Rivest, "Can We Eliminate Certificate Revocation Lists?," MIT Laboratory for Computer Science, pp. 178-183, (Feb. 1998). < http://theory.lcs.mit.edu/rivest>
	1R	Menezes, "Handbook of Applied Cryptography," pps. 33, 37-39, 321-322, 559-560, 576-577, (1997).
	1S	A copy of PCT International Search Report for International Application No. PCT/US01/01520 mailed on August 10, 2001(8 pages).

EXAMINER	DATE CONSIDERED
Chris Brown	9/02/03

Rev 06/01 (PTO1449)

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO. 10001559-1	APPLICATION NO. 09/483,186	CONFIRMATION NO.
APPLICANT Francisco Corella		RECEIVED
FILING DATE Jan. 14, 2000	GROUP	SEP 1 2 2002

Technology Center 2100

RECEIVED SEP 0 9 2002

REFERENCE DESIGNATION   U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
CJB	1A	4,881,264	11/1989	Merkle	
	1B				
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
CJB	1L	WO 01/43344	14 June 2001	Burton S. Kaliski Jr		
	1M					
	1N					
	1O					
	1P					

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

CJB	1Q	Press, Jim, "Secure Transfer of Identity and Privilege Attributes in an Open Systems Environment," Computers & Security, Vol. 10, No. 2., pps. 117-127, (April 1991).
	1R	"SET Secure Electronic Transaction Specification," Book 1: Business Description, Version 1.0, XP-002203148, pps. i-72, (May 31, 1997).
	1S	A partial copy of European Search Report for Application No. EP 00 31 0771 mailed on July 12, 2002 (4 pages).

EXAMINER Chris Brown	DATE CONSIDERED 9/02/03
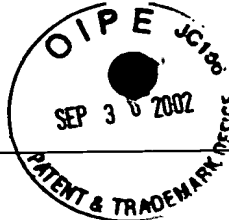
Rev 05/02 (PTO1449)

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO. 10001559-1	APPLICATION NO. 09/483,186	CONFIRMATION NO. RECEIVED
APPLICANT Francisco Corella		OCT 0 4 2002
FILING DATE 01/14/00	GROUP	Technology Center 2100

REFERENCE DESIGNATION   **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
CgB	1A	5420927	May 30, 1995	Micali	
	1B				
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
CgB	1L	WO 98/21683	22 May 1998	Finjan Software, LTD		X
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

CgB	1Q	Menezes et al "Handbook of Applied Cryptography" Handbook of Applied Cryptography, CRC Press Series On Discrete Mathematices And Its Applications, Boca Raton, FL, CRC Press, US, 1997, XP002173298 ISBN: 0-8493-8523-7 * page 39, line 1- line 38 * page 559, line 7-page 560, line 38 * page 576, line 18 - page 577, line 37 *
	1R	Stubblebine S: "Recent-Secure Authentication: Enforcing Revocation In Distributed Systems" /, 1995, pages 1-12, XP002210000 * page 3, right-hand column, line 13 - page 8, right-hand column, line 38 *
	1S	Rivest R L: "Can We Eliminate Certificate Revocation Lists?" Financial Cryptography. International Conference, XX, XX, February 1998 (1998-02), pages 178-183, XP000997964 * the whole document *

EXAMINER Chris Brown	DATE CONSIDERED 9/02/03

Rev 05/02 (PTO1449)